

Page 1 of 1

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 2023I
www.uspto.gov

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/027,397

12/19/2001

Linda J. Rankin

042390.P12338

CONFIRMATION NO. 1176

Date Mailed: 01/24/2002

FORMALITIES LETTER

OC000000007357672

John P. Ward BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP Seventh Floor 12400 Wilshire Boulevard Los Angeles, CA 90025-1026

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

- An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).
 - The oath or declaration is unsigned.
 - To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
 - The balance due by applicant is \$ 130.

A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

04/02/2002 CCHAU1

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Afforney's Docket No.:42390P12338

Patent

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Linda Rankin et al.

Application No.: 10/027,397

Filed: December 19, 2001

For: HOT PLUG CACHE COHERENT INTERFACE

METHOD AND APPARATUS

Assistant Commissioner for Patents Box Missing Parts Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Sir:

In response to the Notice to File Missing parts of Application (Filing Date Granted) mailed January 24, 2002, please find enclosed:

- (1) a duly executed Declaration and Power of Attorney with respect to the above-referenced patent application;
 - (2) a check in the amount of \$130.00 in payment of the surcharge of 37 C.F.R. § 1.16(e); and

FIRST CLASS CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to the Commissioner for Patents, Washington, D.C. 20231

on	March 21, 20	002		
/		Date of Deposit		
/	Raquel R. To	rries /		
12	Name of Perso	Mailing Correspondence	1	
	Jagne ST	lann_	3/21/02	
j	Signature		Date	
·	0	-1-	10/027	7,397

<u>i atem</u>

(3) a copy of the Notice to File Missing Parts of Application.

If any additional fee is required, please charge Deposit Account No. 02-2666. A duplicate of this Response is enclosed for deposit account charging purposes.

Respectfully submitted,

BLAKELY SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: March 21, 2002

John Patrick Ward Reg. No. 40,216

12400 Wilshire Blvd. Seventh Floor Los Angeles, CA 90025-1026 (408) 720-8300







MIG

PTO/SB/17(09/00)

Approved for use through 10/31/2002. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE work Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

& MADEMA									
FEE TRANSMITTAL FOR FY 2002									
					TOTAL AMOUNT OF DAVMENT (\$) \$13	20.00			
Comp	TOTAL AMOUNT OF PAYMENT (\$) \$130.00								
	Complete if Known: Application No. 10/027,397								
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					nkin et al.				
Group	Art U	nit	Unassigr	ed	man or al.				
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			No. 423		38		- 1		
METHOD OF PAYMENT (check one)									
1.	 [X] The Commissioner is hereby authorized to charge indicated fees and credit any over payments to: 								
	Deposit Account Number 02-2666 Deposit Account Name								
	[X] Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17								
	[] Applicant claims small entity status. See 37 CFR 1.27								
2.	X	_	Paymei	nt Enclo	sed: X Check Money Order Other		-		
FEE (CALC	ULA	NOITA						
1.	BAS	IC F	ILING F	<u>EE</u>					
Large	_Entit	Y	Small E	ntity					
Fee	Fe	ee	Fee	Fee					
Code	(\$)	Code	(\$)	Fee Description	Fee Paid			
101	-	10	201	370	Utility application filing fee				
106	33		206	165	Design application filing fee				
107	51		207	255	Plant filing fee				
108	74		208	370	Reissue filing fee				
114	16	50	214	80	Provisional application filing fee				
					SUBTO	OTAL (1) \$ 0			
		DA 1	N AIRA F	FFC		F - 1			
2.	EX1:	ĦΑ (CLAIM F	EE2		Fee from			
					<u>Extra Claims</u>	below <u>Fee Paid</u>			
Total	Clair	ns			-20** =X	=	į		
			laims _		-3** =X				
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Multiple Dependent = =									
**Or number previously paid, if greater; For Reissues, see below. <u>Large Entity</u> Small Entity									
Fee		se T	Fee	Fee					
Code	(\$		Code	(\$)	Fee Description				
103	•	18	203	() 9	Claims in excess of 20				
103		34	202	42	Independent claims in excess of 3				
104		30	202	140	Multiple dependent claim, if not paid				
109		34	209	42	**Reissue independent claims over original pa	atent			
110		18	210	9	**Reissue claims in excess of 20 and over original pa				
		. •	2.0	•		amer bareur			
					SUB	TOTAL (2) \$ 0			





FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Small Entity							
Fee	Fee	Fee	Fee				
Code	(\$)	Code	(\$)	Fee Description	Fee Paid		
105	130	205	65	Surcharge - late filing fee or oath	130.00		
127	50	227	25	Surcharge - late provisional filing fee or cover sheet			
139	130	139	130	Non-English specification			
147	2,520	147	2,520	For filing a request for ex parte reexamination			
099	8,800	099	8,800	Request for inter parties reexamination			
112	920*	112	920*	Requesting publication of SIR prior to Examiner action			
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action			
115	110	215	55	Extension for reply within first month			
116	400	216	200	Extension for reply within second month			
117	920	217	460	Extension for reply within third month			
118	1,440	218	720	Extension for reply within fourth month			
128	1,960	228	980	Extension for reply within fifth month			
119	320	219	160	Notice of Appeal			
120	320	220	160	Filing a brief in support of an appeal			
121	280	221	140	Request for oral hearing			
138	1,510	138	1,510	Petition to institute a public use proceeding			
140	110	240	55	Petition to revive – unavoidable			
141	1,280	241	640	Petition to revive - unintentional			
142	1,280	242	640	Utility issue fee (or reissue)			
143	460	243	230	Design issue fee			
144	620	244	310	Plant issue fee			
122	130	122	130	Petitions to the Commissioner			
123	50 100	123	50	Processing fee under 37 CFR 1.17(q)			
126	180 40	126	180	Submission of Information Disclosure Stmt			
581	40	581	40	Recording each patent assignment per			
146	740	246	370	property (times number of properties)			
140	740	240	370	For filing a submission after final rejection (see 37 CFR 1.129(a))			
148	110	248	55	Statutory Disclaimer			
149	740	249	370	For each additional invention to be examined			
173	770	243	370	(see 37 CFR 1.129(b))			
179	740	279	370	Request for Continued Examination (RCE)			
169	900	169	900	Request for expedited examination of a design			
				application			
195	300	195	300	Publication fee for early, voluntary, or normal pub.			
196	300	196	300	Publication fee for republication			
194	130	194	130	Request for voluntary publication or republication			
098	130	098	130	Processing fee under 37 CFR 1.17(i) (except provisionals))		
091	1,280	091	1,280	Acceptance of unintentionally delayed claim for priority			
	• •						
Other fee (specify)							
*Reduced by Basic Filing Fee Paid							
SUBMITTED BY:							
Typed or Printed Name: John P. Ward							
Signat	ture:		//0	Date: March 21, 2002			
Reg. Number: 40,216 Telephone Number:							